Connecting ESSA to School Libraries

Tuesday, October 25, 2016

Connecticut Association of School Librarians

(CASL)
This program is made possible through the matching funds provided by Follett School Solutions. Follett advocates for, and understands, the expertise and dynamic role school librarians play in making a difference in their district’s success.
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## Schedule

**September 25, 2016**

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<td>1:00 pm</td>
<td>Welcome Remarks</td>
<td>Leslie Preddy, AASL Immediate Past President</td>
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<tr>
<td>1:00- 1:30 pm</td>
<td>ESSA Overview</td>
<td>Emily Sheketoff: Executive Director of Washington Office of American Library Association</td>
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<td>- Federal and State Timeline</td>
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<td>- Review of titles that include School Librarians</td>
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<td>- Call to action</td>
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<td>1:30-1:45 pm</td>
<td>Review of Connecticut DOE</td>
<td>Leslie Preddy, AASL Immediate Past President</td>
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<td>- ESSA Webinar Series</td>
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<td>- Commissioner Update</td>
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<td>1:45-2:15 pm</td>
<td>Identifying Stakeholders and Coalitions</td>
<td>Leslie Preddy, AASL Immediate Past President</td>
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<td>2:15-2:30 pm</td>
<td>Coalition Development Plan</td>
<td>Small group work</td>
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<td>Report/Share Out</td>
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<td>2:45-3:00 pm</td>
<td>Review of Key Messages</td>
<td>Leslie Preddy, AASL Immediate Past President</td>
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<td>Elevator Speech Development</td>
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<td>Sample Elevator Speech</td>
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<td>Elevator Speech Development</td>
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<td>Elevator Speech Practice/Refinement</td>
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<td>3:45-4:00 pm</td>
<td>Review of supplemental handbook material</td>
<td>Leslie Preddy, AASL Immediate Past President</td>
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<tr>
<td>4:00 pm</td>
<td>Closing Remarks/Questions</td>
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Welcome

Leslie Preddy
AASL Immediate Past President, 2016-2017,
Perry Meridian Middle School
Indiana
SHARE, SHARE, SHARE

Tag @aasl

#ESSAlibraries

Send photos to jhabley@ala.org

ESSA Webpage

http://essa.aasl.org/

ALA Washington Office

Emily Sheketoff
Executive Director of Washington Office of American Library Association

https://vimeo.com/183396897
The American Association of School Librarians (AASL) supports the position that:

An effective school library program has a certified school librarian at the helm, provides personalized learning environments, and offers equitable access to resources to ensure a well-rounded education for every student.

As a fundamental component of college, career, and community readiness, the effective school library program:

1. is adequately staffed, including a state-certified school librarian who
   a. is an instructional leader and teacher,
   b. supports the development of digital learning, participatory learning, inquiry learning, technology literacies, and information literacy, and
   c. supports, supplements, and elevates the literacy experience through guidance and motivational reading initiatives;

2. has up-to-date digital and print materials and technology, including curation of openly licensed educational resources; and

3. provides regular professional development and collaboration between classroom teachers and school librarians.
Title I

Title I – Improving basic programs operated by state and local educational agencies

Message: School librarians and access to effective school library programs, impact student achievement, digital literacy skills, and school climate/culture.

https://vimeo.com/183388920

Title II, Part A

Title II: Supporting effective instruction

Message: School librarians share their learning with other professionals when they attend conferences and workshops, applying the benefits of new techniques, strategies, and technologies to the entire district.

https://vimeo.com/183390785

LEARN

Literacy education for all, results for the nation (LEARN)

New literacy program that specifically authorized school librarians to participate in required activities.

https://vimeo.com/183392270
Innovative Approaches to Literacy (IAL)

New authorization that specially authorizes funds to be used for developing and enhancing effective school library programs.

https://vimeo.com/183393334

Title II, Part B

Title II, Part B: Literacy education for all, results for the nation (LEARN)/ Innovative Approaches to Literacy (IAL)
https://vimeo.com/183393643

Message: School librarians are uniquely suited to lead the effort in applying for competitive grants because of their expertise and access to strong professional learning networks.

Title IV, Part A

Title IV, Part A: Student Support and Academic Enrichment Grants (Block Grants)

Message: School librarians increase access to personalized, rigorous learning experiences supported by technology, allowing equitable resources for all students.

https://vimeo.com/183394913
Connecticut ESSA Update

CT Department of Education ESSA Page

ESSA Webinar Series contact
Jennifer.webb@ct.gov to register (100 seat limit)

• Tuesday, November 15, 2016
• Tuesday, January 17, 2017
• Tuesday, February 14, 2017
  – 2:00 – 2:45 PM

Included in handbook:
• Webinar Series Flyer
  – First two webinar slide presentations
  • Overview and Regulatory Process
  • Accountability, Assessment, and Data Collection and Reporting
• Superintendent Memo on ESSA
• Commissioner Update (10/5/16)

Identifying Stakeholders & Building Coalitions
Coalitions

- Critical to identify stakeholders
  - Including at the school, district (LEA) and state (SEA) levels
- Form coalitions
  - Bring together groups and organizations that support libraries
- Think outside the box
  - Utilize relationships and connections with education officials and policymakers in support of the effort
- When anyone thinks of ESSA how do you get them to connect to school libraries
  - Be prepared to talk about positive impact of library programming in the community – How are you making a difference?

ESSA Stakeholder Engagement Required Participants

- Governor
- State legislature
- State boards of education
- Local educational agencies
- Representatives of Indian Tribes located in the state
- Teachers
- Principals & other school leaders
- Specialized instructional support personnel
- Paraprofessionals
- Administration & other staff
- Parents

State Support and Opportunities

- Must provide support to schools not meeting state-determined goals for student and school performance
- Must engage stakeholders and include them in the development of state plans to monitor student and school performance.
- School Librarians are among the list of stakeholders
- Be at the table!
Parents as Stakeholder Partners

- ESSA includes a provision for family engagement. Parents must be “meaningfully consulted” and involved in:
  - State and local Title I plans
  - Title II state and local applications
  - Title III state and local plans
  - Title IV-A local applications
  - Title IV-B state applications
  - State and local report cards
  - School improvement plans

Coalition Development Plan

- Name individual/organization/business
- What previous activities/news tie them to your efforts
- What stake do they have in school library funding
- What can they contribute to your plan
- What three things could you do today to strengthen a relationship with them
Coalition Development Plan

Connect Messages to Stakeholders

Connecting the dots

- Four ESSA areas (Title I, Title II- Part A, Title II- Part B, and Title IV, Part A)
- Review the key messages on your message card
- Connect the dots to your work
ESSA Key Messages

• School librarians and access to effective school library programs, impact student achievement, digital literacy skills, and school climate/culture.
• School librarians share their learning with other professionals when they attend conferences and workshops, applying the benefits of new techniques, strategies, and technologies to the entire district.
• School librarians are uniquely suited to lead the effort in applying for competitive grants because of their expertise and access to strong professional learning networks.
• School librarians increase access to personalized, rigorous learning experiences supported by technology, allowing equitable resources for all students.

Key Words/Phrases

• Specialized instructional support staff
• Digital literacy skills
• Academic achievement
• Personalized, rigorous learning experiences
• Adequate access to school libraries
• Use technology effectively
• Effective integration of technology
• Improve instruction and student achievement

ESSA Elevator Speech

https://vimeo.com/183395638
Meaningful Messaging

Elevator Speech- 4 pieces

1. The message
2. The Story & Key Data
3. The Ask
4. The Elevator Speech

Example

Title I: Improving basic programs operated by state and local educational agencies

Message: School librarians and access to effective school library programs, impact student achievement, digital literacy skills, and school climate/culture.

Story & Data: A middle school librarian links the reading incentive program to books read and increases in reading scores.

Ask: Include librarians on district-wide school improvement team. (Audience: District Administrator)
The Elevator Speech:
For the past 3 years, as part of our school-wide reading focus, the library has hosted the Panthers Pounce reading challenge. Last year our students read nearly 11,000 books— that’s about 27 books per student. Our reading scores have increased 6%-- 7% for English language learners!— and now students demand to come to the library on the first day of school! Librarians partner with colleagues throughout the building to improve student achievement. Will you include a librarian on the district’s school improvement team?

ESSA Elevator Speech
Reminders:
• The intention is to educate not humiliate.
• What you do is important, so sound important.
• Practice
• You can start the conversation.

Elevator Speech Development
Step One: Make the connection from ESSA language to AASL’s school library talking points to your school library program.

Step Two: Practice

Step Three: Fine Tuning

Step Four: Practice Again
Step One: Make the connection from ESSA language to AASL's school library talking points to your school library program.

Step Two: Practice

Step Three: Fine Tuning

Step Four: Practice Again
ESSA Federal Legislation Timeline

1965 ESEA was enacted by Congress and signed into law.

1968 Congress expanded ESEA to include new programs (and titles) that serve at-risk children (migrants and neglected children). The Bilingual Education Act was also passed.

1994 The renewal of the ESEA called for states to develop standards and standards-aligned assessments for all students. States and districts were obligated to identify schools that were not making “adequate yearly progress” as detailed in the Improving America’s Schools Act (IASA).

2002 ESEA became NCLB (No Child Left Behind Act). NCLB shifted much of the decision-making and resource allocation away from states. NCLB also significantly expanded testing requirements.

2015 In December 2015, bipartisan support for the ESSA was high and the overdue reauthorization was finally signed into law.
### ESSA State Timing, Rules and Implementation

**December 10, 2015**  
President Obama signs the Every Student Succeeds Act (ESSA) into law

**December 22, 2015**  
U.S. Department of Education (ED) publishes request for information (RFI) re: regulations on Title I of ESSA

**March 21 – April 19, 2016**  
ED holds three sessions of negotiated rulemaking (neg-reg) on assessment and supplement, not supplant (SNS) issues

**April 27, 2016**  
ED releases assessment regulations that were agreed to by the neg-reg committee

**May 31, 2016**  
ED releases a notice of proposed rulemaking (NPRM) on accountability and state plan issues under Title I

**August 1, 2016**  
(1) ESEA waivers are null and void, per the statute, and  
(2) comments are due on the NPRM re: accountability and state plan issues

**October 1, 2016**  
Effective date for competitive programs under ESSA, unless otherwise provided for in the statute

**October/November, 2016**  
Final regulations published by ED

**February/April, 2017**  
States submit plans for School Year 2017-2018

**May/June, 2017**  
ED begins peer review and approves state plans

**July 1, 2017**  
Effective date for formula grant programs under ESSA

**August, 2017**  
New state plans take effect in schools at the start of the 2017-2018 school year
This document highlights library-related provisions in P.L. 114-95, the Every Student Succeeds Act (ESSA) and provides an overview of initial next steps to help maximize opportunities for effective school library programming under the new law.

TITLE I, PART A – IMPROVING BASIC PROGRAMS OPERATED BY STATE AND LOCAL EDUCATIONAL AGENCIES

Background

- Under Title I, Part A of ESSA, States (referred to as State Educational Agencies) and school districts (referred to as Local Educational Agencies) must develop plans to implement federally-funded education activities.

- States and school districts must develop their plans with “timely and meaningful consultation with” teachers, principals and other stakeholders, including “specialized instructional support personnel” which is defined under ESSA as specifically including school librarians.

Library Provisions

- ESSA includes new provisions that authorize – but do not require – school districts to include in their local plans how they will assist schools in developing effective school library programs to provide students an opportunity to develop digital literacy skills and improve academic achievement.

Next Steps

- Because the local application provision related to effective school library programming is allowable (not required from the federal level), it is critical that school district personnel be made aware of their ability to develop and implement effective school library programming.

- Contact and work with the superintendent’s office in developing the local plan under Title I, Part A to ensure that the school district takes into consideration:
  - The importance of developing and maintaining effective school library programs; and
  - How effective school library programs can help with the development of digital literacy skills and improve academic achievement.

- Contact and work with State and school district officials regarding the ability of school librarians to participate in both the State and school district planning and application process (as part of “specialized instructional support personnel”).
TITLE II, PART A – SUPPORTING EFFECTIVE INSTRUCTION

Background

- Title II, Part A of ESSA provides funds for States and school districts to increase academic achievement through professional development.

Library Provisions

- ESSA includes new provisions that authorize States, as well as school districts, to use grant and subgrant funds for “supporting the instructional services provided by effective school library programs.”

Next Steps

- Because States and school districts can now use their Title II, Part A funds specifically to support effective school library programming, it will be important to make sure that school district and school personnel that develop and implement professional development activities are aware of the new uses of funds related to libraries.

- Work with school district and school personnel to encourage the use of Title II, Part A funds specifically for effective school library programming, as well as part of other professional development efforts taking place with these funds.

  o Note that under the No Child Left Behind Act (NCLB), Title II, Part A funds were primarily used for professional development for “teachers” which made it difficult for other instructional support personnel to participate in activities. ESSA rectifies this by specifically authorizing funds to be used to support instructional services provided by effective school library programs.

TITLE II, PART B, SUBPART 2 – LITERACY EDUCATION FOR ALL, RESULTS FOR THE NATION (LEARN)

Background

- ESSA includes a new literacy program that provides federal support to States to develop, revise, or update comprehensive literacy instruction plans. States award competitive subgrants to school districts for activities that focus on children in kindergarten through grade 5 as well as children in grades 6 through 12.

Library Provisions

- ESSA specifically authorizes school librarians to participate in required grant activities that focus on children in kindergarten through grade 5 as well as activities that focus on children in grades 6 through 12.

- In addition, ESSA allows all local subgrants (that serve children in kindergarten through grade 5 and/or children in grades 6 through 12) to be used to provide time for teachers and school librarians to meet, plan and collaborate on comprehensive literacy instruction.
Next Steps

- Since this is a new competitive program under ESSA, it will be important to work with individuals responsible for literacy instruction and development at the State, school district, and school level.

- Encourage/assist appropriate State, school district, and/or school personnel in developing and applying for grants or subgrants.
  
  o Note that subgrants awarded for local uses of funds must include professional development for school personnel that specifically includes school librarians. Therefore, any grants awarded at the school district level under this program must provide professional development for school librarians.

**TITLE II, PART B, SUBPART 2, SECTION 2226 – INNOVATIVE APPROACHES TO LITERACY (IAL)**

**Background**

- ESSA includes a new authorization of the Innovative Approaches to Literacy (IAL) program (previously funded through appropriations legislation) that provides dedicated funding to promote literacy programs in low income communities.
  
  o Note that while IAL activities have been funded over the past several years through appropriations bills, the “codification” (or explicit authorization) of this program in ESSA provides a specific “line item” to help better secure funding in future years.

**Library Provisions**

- ESSA specifically authorizes funds to be used for developing and enhancing effective school library programs, which includes providing professional development for school librarians, books, and up-to-date materials to high need schools.

**Next Steps**

- Since IAL is a competitive grant program that has been funded in the past (through appropriations), but is newly authorized under ESSA, it will be important to focus advocacy efforts at the federal level to ensure enough funds are appropriated to continue and possibly expand the IAL program.
  
  o Note that while efforts to fund IAL in the past have been successful, the lack of an explicit authorization for these activities has hindered advocacy efforts related to expanding the program. The specific authorization of IAL under ESSA will help with future funding as Congress has expressed its support for these activities under the most recent authorization of the Elementary and Secondary Education Act.
TITLE IV, PART A – STUDENT SUPPORT AND ACADEMIC ENRICHMENT GRANTS (BLOCK GRANT)

Background

- ESSA authorizes a new program to provide Student Support and Academic Enrichment activities (commonly referred to as the “Block Grant” under ESSA) to help States and school districts target federal resources on locally-designed priorities.
  - Funds are allocated to States and then school districts based on their share of Title I, Part A funding (formula grant allocated on the basis of poverty).

Library Provisions

- ESSA authorizes (but does not require) States to use funds to assist school districts in providing programs and activities that increase access to personalized, rigorous learning experiences supported by technology, including adequate “access to school libraries.”

- ESSA also authorizes (but does not require) States to use funds to assist school districts in providing school librarians and other school personnel with the knowledge and skills to use technology effectively, including effective integration of technology, to improve instruction and student achievement.

- In developing their local applications, school districts must consult with teachers, principals and other stakeholders, including “specialized instructional support personnel” which is defined under ESSA as specifically including school librarians.

- In addition, ESSA requires that school districts conduct a “needs assessment” prior to receiving funds from the State (that must be conducted every 3 years). The needs assessment must include access to personalized learning experiences (which may include access to school libraries).

Next Steps

- Because States are authorized (and not required) to support school districts by providing programs and activities that increase access to personalized learning experiences (which may include professional development for school librarians and better access to school libraries for students), it will be important to contact and work with State Educational Agency officials to make them aware of their ability to use funds in support of personalized learning experiences.

- Since school districts are required to consult with stakeholders that may include school librarians on the development and implementation of their local activities, it is critical to work with technology leaders at the school and school district levels to ensure that school librarians can adequately participate in the planning process.
Title IV, Part A of ESSA: Student Support and Academic Enrichments Grants

Description of Grants
The newly enacted bipartisan Every Student Succeeds Act (ESSA) includes a flexible block grant program under Title IV Part A, which is authorized at $1.65 billion in FY 2017. Title IV, Part A authorizes activities in three broad areas:

1) Providing students with a well-rounded education (e.g. college and career counseling, STEM, arts, civics, IB/AP)
2) Supporting safe and healthy students (e.g. comprehensive school mental health, drug and violence prevention, training on trauma-informed practices, health and physical education) and
3) Supporting the effective use of technology (professional development, blended learning, devices).

Distribution of Funds
Each state will receive an allocation based on the Title I funding formula. Using the same Title I formula, the states will then allocate funds to school districts.

Any school district that receives a formula allocation above $30,000 must conduct a needs assessment and then must expend 20 percent of its grant on safe and healthy school activities and 20 percent on activities to provide a well-rounded education programs. The remaining 60% of the money can be spent on all three priorities, including technology. However, there is a 15% cap on devices, equipment, software and digital content.

If a district receives an allocation below $30,000, the law does not require a needs assessment or setting aside percentages for well-rounded and safe and healthy students programs. It must spend money on activities in at least one of the three categories. The 15 percent technology purchase cap would continue to apply.

President’s FY17 Budget Request
The President's FY 2017 budget proposal would provide $500 million for the Title IV flexible block grant, less than one-third of the authorized $1.65 billion level. The Administration also requested to include language in the appropriations bill that would allow states to distribute $50,000 per year to districts on a competitive basis and would allow states to limit their spending to just one of the three listed priorities, or specific activities within one of the priorities.

Since the Student Support and Academic Enrichments Grants program is the third largest authorized program in ESSA, failing to adequately fund it, as the President’s FY 2017 budget proposes to do, will undermine the bipartisan Congressional intent in passing this important law.

Program Funding Need
Strong evidence demonstrates the need for students to have access to health and safety programs, a diversity of academic programs, and modern technology.

- Evidence supports a direct correlation between physical and mental health and learning that is essential to academic success, school completion, and the development of healthy, resilient, and productive citizens. Schools are uniquely positioned to help students acquire life-long knowledge and skills through comprehensive health education, physical education, nutrition, comprehensive school mental and behavioral health services, counseling, and integration among all education and health programs.
- In order to prepare students to succeed, they need access to a well-rounded curriculum. Funds through the block grant will help schools expand music, art, STEM, computer science, accelerated learning, history, and civics courses, as well as expand access to college and career guidance and counseling.
Federal investments in education technology ensure schools have technology-proficient educators, well equipped classrooms, sufficiently supported administrative structures, and a curriculum optimized to take advantage of the benefits technology offers to all students—such as closing the opportunity and learning gaps and providing students with essential modern workforce skills.

Given the elimination under the Every Student Succeeds Act (ESSA) of numerous programs that support the overall health and safety of students, the investments in education technology, as well as helping districts ensure access to a well-rounded education, a robust federal investment in support of these programs is absolutely essential through Title IV Part A. Without a significant investment in Title IV, Part A, districts will be forced into choosing which of the priorities to invest in—even though an ample investment in all three is necessary to providing students with a comprehensive education.

For further information, or if any questions arise, please contact Sunil Mansukhani at The Raben Group, smansukhani@rabengroup.com or Jon Bernstein at Bernstein Strategy Group, jbernstein@jbernsteinstrategy.com.
The Connecticut State Department of Education is pleased to present a professional learning opportunity

2016-17 Superintendents’ ESSA Webinar Series

Timely information for an orderly transition to ESSA

A webinar series for superintendents, school leaders, and other interested stakeholders who want to learn more about the Every Student Succeeds Act (ESSA). ESSA reauthorizes the Elementary and Secondary Education Act (ESEA) of 1965, and replaces the No Child Left Behind Act (NCLB) of 2002.

Registration: Registration began in May 2016. One hundred seats are available on our new webinar platform. Openings are still available. To register, contact Jennifer.webb@ct.gov. In the subject line, write “ESSA Webinar Registration.”

Recordings: You may view the slide deck or recording without registering for the live events. Archived after each session, webinars can be found at the Superintendent Digest page of the CSDE Web Site. The June 2016 webinar, “ESSA Overview and the 2016 Regulatory Process,” is posted there.

Webinar Hosts and Topics:

- Hosted by Ellen Cohn, Deputy Commissioner, and Ajit Gopalakrishnan, Chief Performance Officer.
- Designed to educate and inform leaders about the ESSA components; mandated changes and available flexibilities the law provides to states and LEAs.
- As the transition unfolds, webinars will include information on the stakeholder engagement process, final federal regulations, timelines, and state plan requirements.

Webinar Dates:

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For more information, contact Jennifer Webb, Education Consultant and Webinar Producer, at Jennifer.Webb@ct.gov
WEBINAR 1: ESSA OVERVIEW & 2016 REGULATORY PROCESS
WEDNESDAY, JUNE 15, 2016
2:00 – 2:45 P.M. EST

LOGISTICS…THINGS TO KNOW

- How to communicate via WebEx
- How to use the Polling feature

SUPERINTENDENT POLLING

What is your role in your educational organization?

a) District Superintendent
b) District Assistant Superintendent
c) District Level Director, Supervisor, or Coordinator
d) School Leader
e) Leader of another type of educational organization
ASPIRATIONAL GOALS OF ESSA

THE BIPARTISAN BILL TO FIX NO CHILD LEFT BEHIND WILL HELP
ENSURE OPPORTUNITY FOR ALL OF AMERICA'S STUDENTS:

☑ Holds all students to high academic standards
☑ Prepares all students for success in college and career
☑ Provides more kids access to high-quality preschool
☑ Guarantees steps are taken to help students, and their schools, improve
☑ Reduces the burden of testing while maintaining annual information for parents and students
☑ Promotes local innovation and invests in what works

Source: USED

SUPERINTENDENT POLLING

What is your level of knowledge regarding ESSA?

a) I am just starting to learn about the new law.
b) I have a general understanding of the changes called for in the new law.
c) I have a deep understanding of many aspects of the new law.

ESSA IMPLEMENTATION TIMELINE

2015
- December 2015: President Obama signs ESSA into law
- March 6 / July 5, 2017: Consolidated state plans due

2016
- August 1, 2016: ESEA flexibility waivers expire

2017
- 2017-2018 School Year: Full implementation of ESSA

2018
- Dec. 2015 - Sept. 2017 = Transition Period to ESSA

Source: National Association of Secondary School Principals (nassp.org)
Advice from USED Regarding the “Orderly Transition” to ESSA

- States and districts should continue to implement the activities and programs they have in place now through the end of the 2015-2016 school year. Transitions may occur during 2016-17, and full implementation will begin in the Fall 2017.

- USED continues to meet with teachers, administrators, the civil rights community and others to inform the development of regulations and guidance.

- USED is receiving input from all stakeholders to help support high-quality transition to, and implementation of, the new law.

OVERVIEW OF TITLES & PROGRAMS FOUND IN ESSA

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<th>Description</th>
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<td>Title I</td>
<td>Improving Basic Programs Operated by State Education Agencies and Local Education Agencies</td>
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<td>Title II</td>
<td>Preparing, Training, and Recruiting Teachers, Principals, and Other Leaders</td>
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<td>Title III</td>
<td>English Learners and Immigrant Students</td>
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<tr>
<td>Title IV</td>
<td>Twenty First Century Schools (mostly post-secondary)</td>
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<tr>
<td>Title V</td>
<td>State Innovation and Local Flexibility (mostly post-secondary)</td>
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<tr>
<td>Title VI</td>
<td>Indian, Native Hawaiian and Alaska Native Education</td>
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<td>Title VII</td>
<td>Impact Aid</td>
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<tr>
<td>Title VIII</td>
<td>ESSA General Provisions</td>
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<tr>
<td>Title IX</td>
<td>McKinney-Vento Act and other provisions</td>
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</tbody>
</table>

REQUIREMENTS OF ESSA: STATE PLANS

Instead of submitting individual program plans, the State may develop consolidated plans across multiple programs covered under ESSA

- Title I, Part A; Title I, Part C; Title I, Part D; Title II, Part A; Title III, Part A; Title IV, Part A; Title IV, Part B; and Title V, Part B, Subpart 2

- For all components, except Consultation and Coordination, the State is required to provide a description, including strategies and timelines, of its system of performance management of implementation of State & LEA plans.

REQUIREMENTS OF ESSA: ACADEMIC STANDARDS AND ASSESSMENT

I. State must adopt “Challenging Academic Standards”
   - States may design, adapt, modify, or replace College and Career Ready standards
   - ESSA limits the power of the Secretary of Education

II. States must annually assess all students in Grades 3-8 and once in High School
   - Reading/Language Arts and Mathematics
   - Science once in each grade band (3-5; 6-8; 9-12)
   - Minimum 95% Participation Rate (all students and each subgroup)

III. Note: Opportunities in Assessment
   - Opportunities for innovation in assessment and accountability
   - Funding for States and Districts to Audit/Examine Assessment Usage
Based on extensive feedback from diverse stakeholders, Connecticut implemented a multiple measures Next Generation Accountability System in March 2016.

This system aligns well with ESSA which requires a multiple measures accountability system that incorporates:

- academic achievement
- graduation rates
- school quality/success indicators
  - student engagement
  - access to advanced coursework
  - postsecondary readiness

Academic growth on state assessments may be incorporated by the state for elementary/middle schools as is already planned by CSDE.

ESSA discontinues the Title III EL accountability system and requires that progress toward English proficiency be added to the Title I accountability system (i.e., Next Generation Accountability System).

Connecticut will maintain its focus on all subgroups as required by ESSA while also continuing to incorporate the High Needs subgroup as it includes thousands of more students into accountability calculations.

Connecticut’s accountability system already factors in the 95% participation rate requirement (e.g., school classifications, distinction selection) as expected under ESSA.

Comprehensive Support and Improvement Plans Required for:

- Lowest-performing 5% of Title I schools on state accountability index
- High schools with <67% graduation rates
- Schools with underperforming subgroups that do not improve after a state-determined number of years

School Improvement Plans must include:

- Evidence-based interventions (school models no longer required)
- Based on school-level needs assessment
- Identification of resource inequities
- Approval by school, district, and state
- Monitoring and periodic review by the state
**REQUIREMENTS OF ESSA: TEACHER EVALUATION AND EQUITY**

- **Title I state plans** must describe how the state will ensure that “low-income and minority children enrolled in Title I schools are not served at disproportionate rates by ineffective, out-of-field and inexperienced” educators
- **Title II** authorizes funds that may be used for state evaluation systems
- **Title II** also authorizes the Teacher and School Leader Incentive Program, a competitive grant to support innovative educator evaluation systems
- **Note:** ESSA does not require specific educator evaluation measures or methods (Connecticut state statute does, however)

---

**AREAS OF FOCUS FOR THE REGULATORY PROCESS IN 2016**

- State accountability systems & reporting
  
  *proposed regulations published in June 2016*
- Submission of state plans
  
  *proposed regulations published in June 2016*
- Student assessment
  
  *focus on students with disabilities and English learners*
- Title I funding: supplement, not supplant
- Innovative assessment demonstration authority

---

**SUPERINTENDENT POLLING**

The next session on September 15th will focus on Accountability Systems. Which of the following topics would you like to learn more about?

a) Consolidated State Plans  
b) Academic Standards and Assessment  
c) School Improvement  
d) Teacher Evaluation and Equity

---

**TO LEARN MORE, CHECK OUT THESE RESOURCES**

- **USED ESSA webpage**
  
- **National Association of State Boards of Education** (Assessment reduction)
  
- **National Conference of State Legislatures** (13 page summary of ESSA)
  
- **The School Superintendents Association (AASA)** (ESSA resources)
  
  http://www.aasa.org/AASAESSA.aspx
- **National Association of Secondary School Principals** (Archived webinars)
  
  https://nassp.org/professional-learning/online-professional-development/archived-webinars/every-student-succeeds-act-webinar-series-part-1?SSO=true
Webinar #2 will be held on Thursday, SEPTEMBER 15<sup>th</sup> from 2:00-2:45 p.m.

Topic: Accountability Systems, State Assessments, and Reporting

Webinar #3: Thursday, OCTOBER 20<sup>th</sup> from 2:00-2:45 p.m.

Topic: State Plans; Consultation and Feedback Supplement, not Supplant, Compliance Rules

Thank you for participating in today’s webinar!

This recorded webinar will be posted within one week in two locations:
- Superintendent’s Digest on the CSDE Homepage
- CT Core Standards website under Professional Development

If you have additional questions, please contact:
Ellen E. Cohn, Deputy Commissioner
Ellen.Cohn@ct.gov

Ajit Gopalakrishnan, Chief Performance Officer
Ajit.Gopalakrishnan@ct.gov
WEBINAR 2:
ACCOUNTABILITY, ASSESSMENT, AND DATA COLLECTION AND REPORTING
THURSDAY, SEPTEMBER 15, 2016
2:00 – 2:45 PM EST

CSDE HOSTS

Ellen E. Cohn
Deputy Commissioner

Ajit Gopalakrishnan
Chief Performance Officer

LOGISTICS...THINGS TO KNOW

- How to communicate via WebEx

- How to use the Polling feature

POLL

What is your role in your organization?

a) Superintendent
b) Assistant Superintendent
c) District Leader (Director, Supervisor, or Coordinator)
d) School Leader
e) Leader of another type of educational organization
ACCOUNTABILITY PER ESSA

**Required Indicators**
- Academic achievement – proficiency on annual state summative assessments
- Student growth or another valid statewide academic indicator (elementary/middle schools)
- Graduation rate (four-year cohort, extended rate optional)
- Progress in achieving English language proficiency on English language proficiency assessments
- School quality or student success (i.e., student engagement, educator engagement, student access to and completion of advanced coursework, postsecondary readiness, school climate and safety, and any other indicator state chooses)

**ACCOUNTABILITY PER ESSA (CONTINUED)**

Substantial weight to achievement, growth, graduation, and progress toward ELP, and in the aggregate, much greater weight than the school quality or student success indicators.
CONNECTICUT’S NEXT GENERATION ACCOUNTABILITY SYSTEM

- Multiple-measures system
- Based on extensive stakeholder feedback
- No new data collection
- Launched March 2016
- Very well aligned with ESSA and state law
- Highlighted in national publications

CONNECTICUT’S NEXT GENERATION ACCOUNTABILITY SYSTEM UNDER ESSA

- Continue measuring proficiency through the performance index approach (Indicator 1)
- Continue to incorporate results from growth model on Smarter Balanced ELA and Mathematics assessments for grades 4 through 8 this fall (Indicator 2)
- Develop growth model for the English language proficiency assessment (LAS Links), measure progress toward English language proficiency for English learners, and incorporate results (addition to Indicator 2)

School Identification and Support

- Identify schools in categories 1, 2, and 3 in Jan. 2017
- Continue support to schools in categories 4 and 5
- Identify new schools for support in categories 4 and 5 and exit currently identified schools making progress (timeframe TBD but tentatively Dec. 2018)
POLL

What is your level of knowledge of Connecticut’s Next Generation Accountability System?

a) I had never heard of it prior to this webinar.
b) I am just starting to learn about it.
c) I have a general understanding of the indicators and the system.
d) I have a deep understanding of the indicators, their weights, and the overall system.

CSDE COMMENTS ON FEDERAL REGULATIONS

CSDE commented on issues where USED was adding rules that were not in the ESSA statute and not a requirement at the state level either… rules that would undermine the positive shifts we have made with the Next Generation Accountability System.

ASSESSMENT PER ESSA

- ELA and Mathematics
  - In each of grades 3 through 8
  - At least once in grades 9 through 12
- Science
  - Once in grades 3 through 5
  - Once in grades 6 through 9
  - Once in grade 10 through 12

ASSESSMENT PER ESSA (CONTINUED)

Maintains Other NCLB provisions

- Alignment to standards
- Adequate technical quality
- Accommodations and inclusion of ELs
- Individual student reports
- State may provide for alternate assessment aligned to alternate assessment achievement standards
- Universal participation of all students and student groups, and inclusion in accountability system; not less than 95%
Transitioned to redesigned SAT as the high school assessment
- Reduces testing time for Grade 11 students
- Enables use of state assessment for college admissions
- Majority of CT Core Standards have a strong/moderate match to redesigned SAT

Utilized computer-adaptive testing in ELA and Mathematics
- Substantially shorter tests when compared to CMT
- Aligned to new standards
- Comparable or better reliability than CMT

Improved Efficiencies with Summative Assessment
- Ongoing clarification of purposes of assessment
- Shorter summative assessments (average time for ELA and Mathematics combined is less than 4 hours)
- Classroom teachers have more time for the formative assessment process and the use of targeted, aligned interim assessment blocks
- Standards, not the summative test, guide instruction

State may exempt 8th graders from summative math assessment if student takes state-administered advanced math end of course exam.
State may choose to allow districts to choose a nationally recognized high school academic assessment in place of state assessment.
State may use computer adaptive tests. CAT may allow above and below grade level items.
State may administer state assessment through a single summative assessment or through multiple statewide interim assessments during the course of the academic year that result in a single summative score.
State may apply to participate in an innovative assessment and accountability pilot (up to seven states could be granted).
DATA COLLECTION AND REPORTING

Disaggregate student achievement results by new subgroups
- Homeless status (collected through PSIS)
- Students in foster care (received from DCF)
- Student with at least one parent in the armed forces (new data element collection starting in 2017-18)
- Migrant status (collected through PSIS)

DATA COLLECTION AND REPORTING

- Update “report cards” (i.e., the Profile and Performance Report) to include accountability system results
- States must include at least 95 percent of students in achievement calculations if percent tested is less than 95

POLL

What are the three topics you’re most interested in learning/discussing more about?

a) The Next Generation Accountability System
b) Connecticut's Smarter Balanced Growth Model
c) Connecticut's LAS Links Growth Model
d) Next Generation Science Assessments
e) “Types and Purposes of Assessment” and Reducing Testing Time
f) Data and Reporting Implications from ESSA

QUESTIONS
Webinar #3 will be held on Thursday, OCTOBER 20th from 2:00-2:45 p.m.
Title I Under ESSA: Improving Basic Programs Operated by State and Local Educational Agencies

Webinar #4: Tuesday, NOVEMBER 15th from 2:00-2:45 p.m.
Title II & III Under ESSA: Preparing, Training, and Recruiting High-Quality Teachers, Principals, or Other School Leaders; Language Instruction for English Learners and Immigrant Students

Thank you for participating in today’s webinar!
This recorded webinar will be posted within one week in two locations:
• Superintendent’s Digest on the CSDE Homepage
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If you have additional questions, please contact:
Ellen E. Cohn, Deputy Commissioner
Ellen.Cohn@ct.gov

Ajit Gopalakrishnan, Chief Performance Officer
Ajit.Gopalakrishnan@ct.gov
TO: Superintendents of Schools
FROM: Shannon Marimón, Division Director, Talent Office
DATE: April 21, 2016

On December 10, 2015, President Obama signed into law the Every Student Succeeds Act (ESSA), which reauthorizes the Elementary and Secondary Education Act (ESEA) and replaces No Child Left Behind (NCLB). While there are many components within the new law, this memorandum is focused on educator evaluation and support.

Please note: Connecticut’s current ESEA waiver will remain in effect until August 1, 2016. The Connecticut State Department of Education (CSDE) is working with the United States Department of Education (USED) to inform the transition to ESSA.

With respect to educator evaluation and support, ESSA grants states greater flexibility. The Performance Evaluation Advisory Council (PEAC) remains the body charged with making recommendations to the State Board of Education (SBE) for amendments to Connecticut’s current system. PEAC has met on multiple occasions since the passage of ESSA to discuss areas of potential refinement to the Connecticut Guidelines for Educator Evaluation, as well as ongoing support for implementation.

On March 9, 2016, PEAC met and made the following recommendations to the SBE:

- **Annual Educator Evaluation and Support Plan Submission**
  PEAC reached a consensus decision to recommend that local educational agencies (LEAs) be allowed to continue with their current CSDE-approved plans for the 2016-17 school year. LEAs would not be expected to submit their 2016-17 Educator Evaluation and Support Plan for CSDE review and approval. If an LEA makes a substantive change that diverges from its CSDE-approved 2015-16 plan, LEAs would submit an amendment form for review and approval. A [Request for Amendment](#) form can be found on the CSDE website.

- **Use of State Test Data in Educator Evaluation**
  PEAC voted to recommend that the SBE extend the current flexibility on the incorporation of state test data in the evaluation of educators for the 2016-17 school year. During this time, PEAC will further study the appropriate use of state test data in educator evaluation.
The SBE adopted these recommendations on April 6, 2016, with the understanding that they fully support and expect the implementation of the required use of state test data starting in the 2017-18 academic year. Please note: All 2015-16 Educator Evaluation and Support Plans are publicly available on the CSDE website.

**Ongoing Support of BloomBoard**

As districts are planning for the 2016-17 academic year, we want to provide an update regarding ongoing support of BloomBoard. Starting in 2013-14, the CSDE, in collaboration with the Connecticut Association of Schools (CAS), funded perpetual licenses for the BloomBoard evaluation/observation platform for all LEAs in the state. As such, LEAs that have opted into use of the BloomBoard platform can continue to manage evaluation/observation data without additional licensing costs.

The CSDE will no longer be able to support the expanded functionality and premium services such as the Insights Reports or Marketplace credits beyond the 2015-16 school year. This expanded functionality is not required to meet the basic data collection needs of the educator evaluation and support system. For those LEAs that are interested in any of BloomBoard’s premium services, please contact your BloomBoard account manager directly.

**Coherence Conference 2016**

On February 25, 2016, the CSDE Talent Office, in partnership with the RESC Alliance and CAS, sponsored our second annual conference entitled, Moving from Compliance to Coherence: Aligning Student and Educator Goals and Practices, at the Radisson Hotel in Cromwell, Connecticut. Over 270 educators attended the conference.

The conference featured 19 Connecticut districts that are aligning school, administrator, teacher and student goals and practices in order to ensure enhanced educator and student performance and inform relevant, high-quality professional learning. The day focused on the importance of telling the success stories of our schools. The CSDE hosted a storytelling hour during which five educators and one student shared personal stories of educators making a difference. Commissioner Dianna Wentzell ended the day by sharing a story of her own. It was an inspiring day of learning from and with educators from across the state.

All of the resources, materials and contact information for the conference presentations are available online via the following link: [http://www.connecticutseed.org/?page_id=3507](http://www.connecticutseed.org/?page_id=3507)

The Talent Office staff is committed to providing timely information and answers to your questions. Please do not hesitate to contact us for assistance at 860-713-6820.

SM:cpn

cc: Dr. Dianna R. Wentzell, Commissioner of Education
    Ms. Ellen E. Cohn, Deputy Commissioner of Education
    Dr. Sarah J. Barzee, Chief Talent Officer
TO: State Board of Education
FROM: Dr. Dianna R. Wentzell
Commissioner of Education
DATE: October 5, 2016
SUBJECT: Update on Connecticut’s Transition to the Every Student Succeeds Act

Executive Summary

Introduction
The purpose of this summary is to provide the State Board of Education with working knowledge of the key changes found in the Every Student Succeeds Act (ESSA) and an update on our process of stakeholder input and engagement, consultation with key stakeholders and other state programs, and our timeline for developing Connecticut’s consolidated state plan.

History/Background
In December 2015, President Obama signed into law the reauthorization of the Elementary and Secondary Education Act (ESEA). The title of the reauthorized legislation, which replaced the No Child Left Behind Act, is the ESSA. The 2016-17 school year is a transition period, with full implementation of Connecticut’s state plan under ESSA beginning in the 2017-18 school year.

Significant Changes
ESSA requires that states develop plans that address standards, assessments, school and district accountability, special help for struggling schools, supports for English Learners (ELs), and greater state flexibility in using funds to support teachers and leaders.

Connecticut is well positioned for several of these changes, particularly in the areas of college and career standards, student assessment, and the use of a multifactor, holistic accountability system. Our Alliance District and Commissioner Network programs provide many of the required structures/supports called for under ESSA.

Unfortunately, however, due to changes in the federal funding formula for Title II, Connecticut will lose approximately 5 million dollars in funding over the next six years. Title II of ESSA focuses on “Preparing, Training, and Recruiting High-Quality Teachers, Principals, and Other School Leaders” and has historically been passed on to districts to fund professional development activities at the local level. It has also funded teacher quality competitive grants out of the Office of Higher Education, which will now be discontinued.
Other changes found in ESSA or draft regulations include:

- State Education Agencies (SEAs) must develop long term goals in key areas, such as improved academic achievement, graduation rates, and English language proficiency for ELs and requires states to develop a performance management plan with interim measures/progress checks toward those long term goals;
- Title I state plans must describe how the state will ensure that “low-income and minority children enrolled in Title I schools are not served at disproportionate rates by ineffective, out-of-field and inexperienced” educators;
- Comprehensive Support and Improvement Plans are required for the lowest-performing 5% of Title I schools on the state accountability index, high schools with <67% graduation rates, and schools with underperforming subgroups that do not improve after a state-determined number of years; and
- Local Education Agencies (LEAs) must develop school improvement plans using “evidence-based practices” for all Title I schools after conducting a school-based needs assessment, and identifying resource inequities between title and non-title schools. LEAs must also develop procedures for monitoring and periodic review of their plans.

**ESSA Timeline:**

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
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<tbody>
<tr>
<td>December 2015</td>
<td>ESEA reauthorized as ESSA</td>
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<td>January 2016 – present</td>
<td>Quarterly Progress Check Calls with USED Office of State Supports</td>
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<td>ESSA review by CSDE leadership and staff (statute review, webinars, workshops, USED and professional organizations’ publications, proposed rule making comments, etc.)</td>
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<td>June 2016</td>
<td>CSDE begins ESSA Superintendents’ Webinar Series <em>(see attached flyer)</em> Webinar #1: ESSA Overview and the 2016 Regulatory Process</td>
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<tr>
<td>July 2016</td>
<td>State Board of Education Five-Year Comprehensive Plan adopted July 6, 2016</td>
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<td>August 2016</td>
<td>CSDE Senior Leadership Retreat on ESSA</td>
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<td>ESSA web page created on the CSDE Website <em>(see home page)</em></td>
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<td>August - September 2016</td>
<td>Stakeholder Engagement Planning with RESC Alliance and SERC</td>
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<td>September 2016</td>
<td>Start of School Year Meetings- ESSA updates provided by Chiefs and Education Consultant Staff</td>
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<td>September 2016</td>
<td>ESSA Superintendents’ Webinar #2: Accountability, Assessment, and Data Collection and Reporting</td>
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<td>Quarterly Progress Check Call with USED Office of State Supports</td>
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<td>October 2016</td>
<td>ESSA Superintendents’ Webinar #3: Title I – Improving Basic Programs, Education of Migratory Children, Prevention/Intervention Programs for Children and Youth Who Are Neglected, Delinquent, or At-Risk (includes Foster Care)</td>
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<td>Commissioner’s State Board Plan/ESSA Roundtables</td>
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<td>Leadership Council – ESSA Update</td>
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<td>State Board of Education Update on ESSA</td>
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<td>Date Range</td>
<td>Events</td>
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<tr>
<td>October – December 2016</td>
<td>- CSDE Staff Update on ESSA</td>
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<td>- ESSA Superintendents’ Webinar #4: Title II and III Under ESSA</td>
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<td>- Stakeholder Focus Groups and Stakeholder Survey</td>
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<td>(RESC Alliance and SERC)</td>
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<td>December 2016</td>
<td>- Finalized federal regulations expected specific to academics, assessment, accountability, state plans, and “supplement, not supplant” provisions.</td>
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<td>- Synthesis of stakeholder input</td>
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<td>- SBE Update on ESSA</td>
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<td></td>
<td>- Begin drafting Connecticut’s ESSA Consolidated State Plan</td>
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<td>- Quarterly Progress Check Call with USED Office of State Supports</td>
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<td>- Present draft plan to Education Committee of CGA</td>
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<td>January - March 2017</td>
<td>- Draft the Consolidated State Plan for ESSA</td>
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<td>- Present draft plan to SBE Legislation and Policy Development Committee</td>
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<td>- Present draft of ESSA Consolidated State Plan for approval by State Board of Education</td>
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<td>- Governor reviews draft plan</td>
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<td>April – May 2017</td>
<td>- Public Comment Period (30 days)</td>
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<td>- Plan Revision period (if needed)</td>
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<td>June 2017</td>
<td>- Commissioner sign off</td>
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<td>- Governor sign off</td>
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<tr>
<td>July 2017</td>
<td>- Connecticut submits the Consolidated State Plan</td>
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Prepared by: ________________________________
Ellen E. Cohn
Deputy Commissioner

Approved by: ________________________________
Dr. Dianna R. Wentzell
Commissioner of Education
Coalition Development Plan

Of course there are some “assumed” coalition groups—teachers, parents and administrators. But this is an opportunity to think outside the box and garner support from the community at large. Who in your community would have a stake in local school district plans to ensure that school library programs provide students an opportunity to develop digital literacy skills and improve academic achievement? Who needs to have college and career ready individuals graduating today?

<table>
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<tr>
<th>Individual/Organization/Business Name</th>
<th>What previous activities, news or accomplishments tie them to your efforts?</th>
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<th>What can they contribute to your plan?</th>
<th>What three things could you do today to strengthen a relationship with them?</th>
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ESSA Key Messages
“Ask Me How School Librarians Ensure Student Success”

**Title I**  Improving basic programs operated by state and local educational agencies

**Message**
School librarians and access to effective school library programs, impact student achievement, digital literacy skills, and school climate/culture.

**Title II**  Supporting effective instruction

**Message**
School librarians share their learning with other professionals when they attend conferences and workshops, applying the benefits of new techniques, strategies, and technologies to the entire district.

**Title II, Part B**  Literacy education for all, results for the nation (LEARN)/ Innovative Approaches to Literacy (IAL)

**Message**
School librarians are uniquely suited to lead the effort in applying for competitive grants because of their expertise and access to strong professional learning networks.

**Title IV, Part A**  Student Support and Academic Enrichment Grants (Block Grants)

**Message**
School librarians increase access to personalized, rigorous learning experiences supported by technology, allowing equitable resources for all students.
Elevator Speech Development

You’ve found yourself faced with the questions, “Are there really any libraries left?” and “What with e-books and Internet are they necessary?” You’ve been asked these questions in a situation in which you know a true conversation is not possible, so how do you make the most impact in the least amount of time? Develop your messages now, and practice!

**Step One:** Make the connection from ESSA language to AASL’s school library talking points to your school library program.

<table>
<thead>
<tr>
<th>ESSA KEY MESSAGES</th>
<th>STORY AND KEY DATA</th>
<th>THE ASK (What’s the need? Who are you talking to?)</th>
<th>PULLING IT ALL TOGETHER</th>
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<tbody>
<tr>
<td>School librarians and access to effective school library programs, impact student achievement, digital literacy skills, and school climate/culture. (Title I)</td>
<td>INSERT STORY</td>
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<td>ESSA KEY MESSAGES</td>
<td>STORY AND KEY DATA</td>
<td>THE ASK (What’s the need? Who are you talking to?)</td>
<td>PULLING IT ALL TOGETHER</td>
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<td>School librarians share their learning with other professionals when they attend conferences and workshops, applying the benefits of new techniques, strategies, and technologies to the entire district. (Title II)</td>
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<td>School librarians are uniquely suited to lead the effort in applying for competitive grants because of their expertise and access to strong professional learning networks. (Title II, Part B)</td>
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<td>School librarians increase access to personalized, rigorous learning experiences supported by technology, allowing equitable resources for all students. (Title IV)</td>
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CRAFTING YOUR ESSA ELEVATOR SPEECH

The MESSAGE:
What do you need your audience to know?

The STORY & KEY DATA:
Connect the message specifically to your work, with a quick fact/data and story.

The ASK:
Consider who you are talking to, what they care about, and what it is within their power to impact. Make a specific request.

The ELEVATOR SPEECH
Packages the above in a way that is short, personal and memorable.

Example
School librarians and access to effective school library programs positively impact student achievement and school climate/culture.

A middle school librarian links the reading incentive program to books read and increases in reading scores.

Include librarians on district-wide school improvement team. (Audience: District Administrator)

For the past 3 years, as part of our school-wide reading focus, the library has hosted the Panthers Pounce reading challenge. Last year our students read nearly 11,000 books—that’s about 27 books per student. Our reading scores have increased 6%–7% for English language learners! and now students demand to come to the library on the first day of school! Librarians partner with colleagues throughout the building to improve student achievement. Will you include a librarian on the district’s school improvement team?
## Elevator Speech Examples

<table>
<thead>
<tr>
<th>ESSA KEY Messages</th>
<th>Story and Fact/Data</th>
<th>The Ask</th>
<th>Pulling It All Together</th>
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</thead>
<tbody>
<tr>
<td>[Title I] School librarians and access to effective school library programs impact student achievement, digital literacy skills, and school climate/culture.</td>
<td>Nearly 60 percent of first year college students require some remediation.¹ The critical thinking and research skills developed in an effective school library program are essential components of college readiness.</td>
<td>Ensure that students have access to school library programs throughout k-12 schooling.</td>
<td>We are seeing a disparity in preparedness among our incoming students. We count on students having digital literacy and information literacy skills that will allow them to do college level work and, all too often, those skills must be remediated. That deficit negatively impacts student engagement, retention, and academic performance. Please use Title I funds to help close that gap and ensure that all students in [state] have access to effective K-12 school library programs. (Speaker: College Administrator. Audience: State Legislator or Board of Ed Official.)</td>
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<td>[Title II, Part A] School librarians are teacher leaders, providing professional development, building capacities around technology integration, and collaborating with colleagues for instruction and assessment.</td>
<td>Librarians lead professional development and committees. In this district, librarians trained teachers so that students can maintain digital portfolios across content areas.</td>
<td>Include school librarians in Title II funding plans for the state.</td>
<td>My librarians are integral to staff development and, in addition to leading committees throughout our district, they deliver quarterly professional development workshops for our teachers. Because of the librarians, our middle school students now have cross content digital portfolios. I urge you to specifically identify school librarians in state plans for use of Title II funds. (Speaker: District Administrator. Audience: State Board Official.)</td>
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</tbody>
</table>

¹ National Center for Public Policy and Higher Education, “Beyond the Rhetoric: Improving College Readiness Through Coherent State Policy,”
### [Title II, Part B, Subpart 1]

| School librarians are uniquely suited to lead the effort in applying for competitive grants because of their expertise and access to strong professional learning. | I have written more than $25,000 in grants, and my literacy action research project provided the data and supporting documentation for our district’s successful IAL grant last year. | Appoint a librarian to the district’s Title II grant team. | Through successful grant writing, I have secured more than $25,000 in library resources that are shared by teachers and students throughout the school. In addition, my literacy action research was incorporated into the district’s IAL grant. Please appoint me to the district’s Title II grant team. (Speaker: Librarian. Audience: District Administrator.) |

### [Title IV, Part A]

| School librarians increase access to personalized, rigorous learning experiences supported by technology, allowing equitable resources for all students. | I work with the 5th grade science teacher to provide supplementary materials to the textbook for struggling and advanced readers. This allows all students to understand the core principals of topics such as electricity, and to scaffold to texts of higher reading levels. | Allocate block grant funds for library resources. | Our school library offers digital and print materials that are accessible to every student in the building and this is critically important to our diverse population. When our 5th graders do their science unit on electricity, the library is able to supplement the text with materials from our shared databases, which even include audio support for struggling readers and English language learners. We also offer supplemental reading materials for kids performing above grade level. It is critical to have these resources in a place where all students and teachers can access them; please ensure that [state’s] implementation plan includes school libraries in its programs eligible for Title IV funds. (Speaker: Librarian. Audience: State Board Official.) |
Glossary

The following are definitions that appear in either AASL board approved position statement or as defined under the US Department of Education.

**Appropriate Staffing** (for school libraries):

1. The library program is serviced by one or more certified school librarians working full-time in the school library.

2. The specific number of additional school librarians is determined by the school’s instructional programs, services, facilities, size, and number of students and teachers.

3. In addition to library-degreed professionals, highly trained technical and clerical support staff are necessary for all library programs at every grade level. Each school should employ at least one full-time technical assistant or clerk for each school librarian. Some programs, facilities, and levels of service will require more than one support staff member for each professional.

4. The school district is served by a district library supervisor who provides leadership and support for the building-level school library programs by providing resources, professional development, and leadership in developing and implementing the district’s school library program. The district library supervisor is a member of the administrative team and helps determine the criteria and policies for the district’s curriculum and instructional programs. The district library supervisor communicates the mission, strategic plan, goals, and needs of the school and district library programs to the superintendent, board of education, other district-level personnel, and the community.

**Collaboration:**

Working with a member of the teaching team to plan, implement, and evaluate a specialized instructional plan.

**Community Readiness:**

The ability to be a productive, active, engaged member of a democratic society.

**Digital Learning:**

Learning materials and resources displayed on a digital device and shared electronically with other users. Digital learning content can be both open and/or commercial content (U.S. Dept. of Education 2016).
Digital Literacy:
The ability to use information and communication technologies to find, evaluate, create, and communicate information, requiring both cognitive and technical skills (ALA 2013).

Effective School Library Program:
1. is adequately staffed, including a state-certified school librarian who
   • is an instructional leader and teacher,
   • supports the development of digital learning, participatory learning, inquiry learning, technology literacies, and information literacy, and
   • supports, supplements, and elevates the literacy experience through guidance and motivational reading initiatives;
2. has up-to-date digital and print materials and technology, including curation of openly licensed educational resources; and
3. provides regular professional development and collaboration between classroom teachers and school librarians.

Information Literacy:
A set of abilities requiring individuals to recognize when information is needed and have the ability to locate, evaluate, and use effectively the needed information (ACRL 2000).

Information Technologies:
Modern information, computer, and communication technology products, services, or tools, including the Internet, computer devices, and other hardware, software applications, data systems, and other electronic content (including multimedia content) and data storage.

Learning Community:
A group of people (can include students) who share common academic goals and attitudes who meet regularly to share expertise and work collaboratively to improve instruction and the academic performance of students.

Local Education Agencies (LEA):
A public board of education or other public authority legally constituted within a State for either administrative control or direction of, or to perform a service function for, public elementary schools or secondary schools in a city, county, township, school district, or other political subdivision of a State, or for a combination of school districts or counties that is recognized in a State as an administrative agency for its public elementary schools or secondary schools.
Specialized Instructional Support Personnel (school librarians):

Under ESSA, perform a wide range of activities in schools, including a broad array of prevention and intervention services that promote effective teaching and learning and promote school success. SISP also collaborate with teachers and school staff to ensure that students receive high quality instruction responsive to their diverse academic, physical, social, emotional, and mental health needs.

State Education Agencies (SEA):

A formal governmental label for the state-level government agencies within each U.S. state responsible for providing information, resources, and technical assistance on educational matters to schools and residents.

Virtual Resources:

Resources that are not physical in nature, such as computer hardware platforms, operating systems, storage devices, computer network resources, electronic databases, and e-books.
Definition for Effective School Library Program

POSITION:

The American Association of School Librarians (AASL) supports the position that an effective school library program has a certified school librarian at the helm, provides personalized learning environments, and offers equitable access to resources to ensure a well-rounded education for every student.

As a fundamental component of college, career, and community readiness, the effective school library program:

1. is adequately staffed, including a state-certified school librarian who
   a. is an instructional leader and teacher,
   b. supports the development of digital learning, participatory learning, inquiry learning, technology literacies, and information literacy, and
   c. supports, supplements, and elevates the literacy experience through guidance and motivational reading initiatives;

2. has up-to-date digital and print materials and technology, including curation of openly licensed educational resources; and

3. provides regular professional development and collaboration between classroom teachers and school librarians.

Effective school libraries are dynamic learning environments that bridge the gap between access and opportunity for all K–12 learners. Under the leadership of the school librarian, the school library provides students access to resources and technology, connecting classroom learning to real-world events. By providing access to an array of well-managed resources, school libraries enable academic knowledge to be linked to deeper, personalized learning. The expanded learning environment of the school library ensures the unique interests and needs of individual students are met. In this way, effective school library programs prepare students for college, career, and community.

Under the leadership of a certified school librarian, the effective school library program delivers a well-rounded educational program (AASL 2009). This program focuses on accessing and evaluating information, providing digital learning training and experiences, and developing a culture of reading. The program uses a variety of engaging and relevant resources. Robust school libraries have high-quality, openly licensed digital and print resources, technology tools, and broadband access. This environment is essential to providing equitable learning opportunities for all students. More than 60 studies in two dozen states show that the “levels of library funding, staffing levels, collection size and range, and the instructional role of the librarian all have a direct impact on student achievement” (Gretes 2013).

In an effective school library program, the school librarian serves as an instructional leader, program administrator, teacher, collaborative partner, and information specialist (AASL 2009). Working with classroom teachers, the school librarian develops information literacy and digital literacy instruction for all students. Serving as an instructional leader, the school librarian contributes to curricular decisions and facilitates professional learning. Additionally, as the library program administrator, the school librarian oversees and manages the program and works with school and community partners. These partnerships result in expanded and improved resources and services for all students.
An effective school library program plays a crucial role in bridging digital and socioeconomic divides. School library programs staffed with state-certified professionals provide an approachable, equitable, personalized learning environment necessary for every student’s well-rounded education.

**BACKGROUND:**

The Every Student Succeeds Act (ESSA) includes language for “effective school library programs” in the provisions of Title I, Part A; Title II, Part A; Title II, Part B, Subpart 2; Title II, Part B, Subpart 2, Section 2226; and Title IV, Part A. The definition of an effective school library program provides guidance to administrators, school boards, and school librarians in implementing ESSA.

**DEFINITIONS:**

- **Collaboration:** Working with a member of the teaching team to plan, implement, and evaluate a specialized instructional plan.
- **Community Readiness:** The ability to be a productive, active, engaged member of a democratic society.
- **School Librarian Instructional Role:** [Instructional Role of School Librarians Position Statement](http://www.ala.org/aasl/positionstatements)

**REFERENCES:**


**DISCLAIMER:**

The position taken by the American Association of School Librarians (AASL) represents the organization and cannot be applied to individual members or groups affiliated with the association without their direct confirmation.

**APPROVAL/REVISION DATES:** June 25, 2016
Appropriate Staffing for School Libraries

POSITION:
The American Association of School Librarians (AASL) supports the position that every student in every school, including independent schools and public charter schools, should have access to an updated school library with a certified school librarian. The success of a school library program, no matter how well designed, ultimately depends on the quality and number of personnel responsible for managing the instructional program and the library’s physical and virtual resources. A certified school librarian, supported by technical and clerical staff, is crucial to an effective school library program. Every student, teacher, and administrator in every school building at every grade level should have access to a fully staffed library throughout the school day.

The following minimum school library staffing requirements define an effective school library program structured to transform teaching and learning throughout the school community:

1. The library program is serviced by one or more certified school librarians working full-time in the school library.
2. The specific number of additional school librarians is determined by the school’s instructional programs, services, facilities, size, and number of students and teachers.
3. In addition to library-degreed professionals, highly trained technical and clerical support staff are necessary for all library programs at every grade level. Each school should employ at least one full-time technical assistant or clerk for each school librarian. Some programs, facilities, and levels of service will require more than one support staff member for each professional.
4. The school district is served by a district library supervisor who provides leadership and support for the building-level school library programs by providing resources, professional development, and leadership in developing and implementing the district’s school library program. The district library supervisor is a member of the administrative team and helps determine the criteria and policies for the district’s curriculum and instructional programs. The district library supervisor communicates the mission, strategic plan, goals, and needs of the school and district library programs to the superintendent, board of education, other district-level personnel, and the community.

BACKGROUND:
The staffing of school libraries will be guided by the language for effective school library programs in the Every Student Succeeds Act (ESSA). A certified school librarian is essential to an effective school library program, yet only two-thirds of school libraries are staffed with certified school librarians (Davis 2010).

DEFINITIONS:
- Effective School Library Program: Definition of an Effective School Library Position Statement

REFERENCES:

RECOMMENDED READING LIST:


DISCLAIMER:

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APPROVAL/REVISION DATES: June 25, 2016
Instructional Role of the School Librarian

POSITION:
The American Association of School Librarians (AASL) supports the position that school librarians are instructors as well as collaborators with fellow educators in the pursuit of student learning in school libraries, classrooms, learning commons, makerspaces, labs, and virtual learning spaces. School librarian instruction results in students who read and utilize print and digital resources for curricular and personalized learning needs. School librarians teach students how to be inquiring learners who evaluate and use both print and digital information efficiently, effectively, and ethically, with the goal of developing lifelong learning and literacy skills (AASL 2007). School librarians lead the way in digital learning and literacies by teaching and providing professional development in their school communities and districts.

The role of the school librarian is to guide students and fellow educators through the intersection of formal and informal learning. The instruction the school librarian offers is integral to a well-rounded education. As educators and instructional partners school librarians are critical to teaching and learning in the school community. The school librarian plays a prominent role in instructing students, faculty, and administrators in a range of literacies, including information, digital, print, visual, and textual literacies. As leaders in literacy and technology, school librarians are perfectly positioned to instruct every student in the school community through both traditional and blended learning.

BACKGROUND:
In the ever-changing information and education landscape, the instructional role of school librarians is vitally important for staff and students. As print and digital literacies, inquiry, and reading motivation have become crucial elements of teaching and learning, school librarians as educators and information specialists play a key instructional role in successful schools.

DEFINITIONS:

- **Digital Learning**: Learning materials and resources displayed on a digital device and shared electronically with other users. Digital learning content can be both open and/or commercial content (U.S. Dept. of Education 2016).
- **Digital Literacy**: The ability to use information and communication technologies to find, evaluate, create, and communicate information, requiring both cognitive and technical skills (ALA 2013).
- **Information Literacy**: A set of abilities requiring individuals to recognize when information is needed and have the ability to locate, evaluate, and use effectively the needed information (ACRL 2000).

REFERENCES:


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APPROVAL/REVISION DATES: June 25, 2016
Role of the School Library Program

POSITION:
The American Association of School Librarians (AASL) supports the position that an effective school library program plays a crucial role in preparing students for informed living in an information-rich society. The school library program provides learning opportunities that enable students to become efficient, effective, and creative users of information. Further, the school library program encourages students to examine the authority of authors and the bias of sponsors; to assess the importance of currency of information to the topic at hand; to determine the scope and relevance of information to meet their needs; and to create and share new ideas, resources, products, and information. This instruction occurs best in the context of the school curriculum where students are guided by a standard of excellence set by their classroom teachers in collaboration with the school librarian.

The school library program is not confined by the school library walls, but rather, with the use of technology and online resources, connects to the community and branches throughout the entire school. The school library program provides the mechanism for students to access the resources they need 24/7, whether in the library, in the classroom, or in the student’s home.

Beyond its curricular role, the effective school library program gives each individual member of the learning community a venue for exploring questions that arise out of personalized learning, individual curiosity, and personal interest. As part of the school library program, the school librarian provides leadership and instruction to both students and staff on how to use information technologies constructively, ethically, and safely. The school librarian offers expertise in accessing and evaluating information and collections of quality physical and virtual resources. In addition, the school librarian possesses dispositions that encourage broad and deep exploration of ideas and responsible use of information technologies. These attributes add value to the school community.

School library programs also provide opportunities for learners to read for enjoyment. School librarians’ skills in the selection and evaluation of resources are critical in providing students, staff, and families with open, non-restricted access to a high-quality collection of reading materials that reflect personal interests and academic needs in multiple formats. School librarians take a leadership role in organizing and promoting literacy projects and events that encourage students to become lifelong learners and readers.

The school library program is based on long-range goals developed through strategic planning that reflect the mission of the school. The school librarian participates fully in all aspects of the school’s instructional program, including federally mandated programs and reform efforts. The school library program provides flexible and equitable access to collections, technology, and a state-certified school librarian for all students and staff, physically as well as virtually. The collection includes materials that meet the needs of all learners, represents various points of view on current and historical issues, and offers a wide variety of interest areas. Policies, procedures, and guidelines are developed to maintain the effective school library program. The school library staff and budget are sufficient to support the school’s instructional program and meet the needs of the school library program’s goals.

For students, the school library represents one of America’s most cherished freedoms: the freedom to speak and hear what others have to say. Students have the right to choose what they will read, view, or hear and are expected to develop the ability to think clearly, critically, and creatively about their choices, rather than allowing others to do this for them.
BACKGROUND:
Citizens of this information world must have the skills and dispositions to access information efficiently and to critically assess the sources they rely upon for decision making, problem solving, and generation of new knowledge. The effective school library program plays a critical role in schools in instructing students on how to access information efficiently and critically assess resources.

DEFINITIONS:
- **Effective School Library Program**: Definition of Effective School Library Position Statement
- **Learning Community**: A group of people (can include students) who share common academic goals and attitudes who meet regularly to share expertise and work collaboratively to improve instruction and the academic performance of students.
- **Information Technologies**: Modern information, computer, and communication technology products, services, or tools, including the Internet, computer devices and other hardware, software applications, data systems, personal electronic devices, and other electronic content (including multimedia content) and data storage.
- **School Librarian Instructional Role**: Instructional Role of the School Librarian Position Statement
- **Virtual Resources**: Resources that are not physical in nature, such as computer hardware platforms, operating systems, storage devices, computer network resources, electronic databases, and e-books.

RECOMMENDED READING LIST:

DISCLAIMER:
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APPROVAL/REVISION DATES: June 25, 2016
Preparation of School Librarians

POSITION:
The American Association of School Librarians (AASL) supports the position that, in addition to meeting state certification requirements, school librarians hold a master’s degree or equivalent from a program that combines academic and professional preparation in library and information science, education, and technology. The graduate degree is earned at a college or university whose program is recognized by appropriate bodies such as the American Library Association (ALA), the American Association of School Librarians/Council for the Accreditation of Educator Preparation (CAEP), or state education agencies. The academic program of study includes directed field experience coordinated by a college/university faculty member and takes place in an effective school library program under the direct supervision of a certified, full-time school librarian.

BACKGROUND:
In order to address the critical need for a reading-rich environment in the ever-changing information landscape, the preparation of school librarians is vitally important. As technology has become a crucial element of teaching and learning, school librarians as educators and information specialists play a key role in the success of schools.

DEFINITIONS:
- **Information Technologies:** Modern information, computer, and communication technology products, services, or tools, including the Internet, computer devices, and other hardware, software applications, data systems, and other electronic content (including multimedia content) and data storage.
- **School Librarian Instructional Role:** Instructional Role of the School Librarian Position Statement

REFERENCES:


DISCLAIMER:

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APPROVAL/REVISION DATES: June 25, 2016
July 26, 2016

Meredith Miller  
U.S. Department of Education  
400 Maryland Avenue, SW, Room 3C106  
Washington, DC 20202-2800

Dear Ms. Miller:

On behalf of the American Library Association (ALA) and the American Association of School Librarians (AASL), thank you for the opportunity to comment on the Department’s proposed regulations governing accountability and State plans under the Elementary and Secondary Education Act (ESEA) as reauthorized by the Every Student Succeeds Act (ESSA).

The mission of ALA, the oldest and largest library association in the world, is “to provide leadership for the development, promotion and improvement of library and information services and the profession of librarianship in order to enhance learning and ensure access to information for all.” The mission of AASL, the ALA division focused on school libraries, is “to empower leaders to transform teaching and learning.” To help accomplish this mission, AASL supports effective school library programs that have a certified school librarian at the helm, provide personalized learning environments, and offer equitable access to resources to ensure a well-rounded education for every student.¹

School libraries are a safe learning environment where all students have equal and equitable access to learning, support, and information for personal and educational purposes. As poverty rates across America remain high, our schools must serve as an “equalizer” to provide all students with equal and equitable access to the resources, support, and instruction necessary to succeed academically and become productive and engaged citizens in a democratic society. Research confirms that effective school library programs are a wise investment for our children’s education and workforce readiness.

ALA has been disheartened by the lack of support for effective school library programs and comprehensive literacy instruction at the Federal, State, and local levels over the years. Data² available from the National Center for Education Statistics (NCES) highlights the lack of support for too many of our students in thousands of schools across the country. NCES data reveals that approximately 8,830 public schools across the nation do not have a school library and for those schools that do have a library, nearly 17,000 schools do not have a full or part-time school librarian on staff.

Accordingly, ALA and AASL were pleased to support the bipartisan conference agreement on ESSA and we are encouraged that the updated law provides opportunities to recognize the key role effective school library programs play in improving student academic achievement and ensuring that students are adequately prepared for college and success in the workforce.

¹ For additional information see AASL definition of “effective school library program.”  
² For additional information from NCES, see http://nces.ed.gov/surveys/sass/tables_list.asp#2012.
To help build on these efforts under ESSA, our comments on the proposed regulations focus on consultation requirements under §299.15 and activities to support all students under §299.19.

§299.15 – Consultation and Coordination

Under ESSA, a State may continue to submit a consolidated State plan in lieu of individual, program-specific plans. As part of the consolidated State plan, the proposed regulations would require the State Educational Agency (SEA) to engage in timely and meaningful consultation with stakeholders as part of the design and development of the plan. Specifically, §299.15(a)(6) of the proposed regulations provides that stakeholders consulted during the development of the State plan must include “teachers, principals, other school leaders, paraprofessionals, specialized instructional support personnel, and organizations representing such individuals.”

Under ESSA, the statutory definition of the term “specialized instructional support personnel” includes qualified professional personnel such as school librarians. To help ensure consistency with the statutory provisions under ESSA, we recommend that language under §299.15(a)(6) be clarified to highlight the statutory ability of school librarians to participate in the consultation process with the SEA in the development and implementation of the State consolidated plan.

We believe it is important to specifically highlight school librarians as part of the consultation process because they make the whole school more effective. School librarians serve as instructional leaders, program administrators, teachers, collaborative partners, and information specialists. They work with every student in the school, teaching them to think critically, providing the resources and support they need in school and beyond, and nurturing their creativity. They are also an essential partner for all classroom teachers, providing print and digital materials that meet diverse needs and collaborating to deepen student learning and drive success. Finally, school librarians are leaders in the school, helping to develop curriculum and connecting other educators to current trends and resources for teaching and learning.

§299.19 – Supporting All Students

As part of the consolidated State plan, each SEA must describe its strategies, its rationale for the selected strategies, timelines, and how it will use funds under the programs included in its consolidated State plan to ensure that all children have a significant opportunity to meet challenging State academic standards and graduate with a regular high school diploma. §299.19(a)(1)(iv) of the proposed regulations requires that such strategies and descriptions include “the effective use of technology to improve academic achievement and digital literacy of all students.”

Literacy skills have always been a critical component of our education systems. Today, the attainment of digital literacy – for both students and educators – can help to ensure that all students graduate from high school prepared for postsecondary education or the workforce without the need for remediation.

School libraries are places of opportunity. Effective school library programs play a crucial role in bridging digital and socioeconomic divides. They foster a safe and nurturing climate during the day and before and after school, to serve as critical learning hubs for instruction and use of technology, digital, and print materials (including curation of openly licensed educational resources) to better prepare students for success for school and the workforce:
• **Avery County High School** – In Avery County High School (Newland, NC), the school librarian trains students and teachers on how to become a responsible consumer of information. The school library provides instruction on how to document resources; the importance of following copyright laws; safe use of online resources, including potential dangers of online sharing; and an awareness of the digital footprint all online users leave.

• **Weddington Hills Elementary School** – At Weddington Hills Elementary School (Concord, NC), digital literacy instruction is provided through the school library program. Students in kindergarten and first grades are learning skills such as keyboard recognition, how to access PowerPoint, how to obtain digital images following copyright requirements, and the importance of citing sources. Students in second and third grades are using various technologies to research, collect information, synthesize, and create new products, including a focus on academic honesty and copyright issues. By fourth and fifth grades, students are exploring digital tools for collaboration, multi-media presentations, analysis of data, and interaction with people and events beyond their own communities.

• **Centerville Elementary School** – From kindergarten up students are exposed to digital learning platforms and computers at Centerville Elementary School (Frederick, MD). From lessons on internet safety to proper citation of web sources to their digital footprints and cyberbullying, the students are receiving weekly lessons to help make them true 21st century learners.

• **Mooresville Intermediate School** – At Mooresville Intermediate School (Mooresville, NC), students receive digital literacy training in the school library related to accessing information sources, taking notes appropriately, citing sources, finding copyright-friendly images for projects, and using digital tools to share their learning in new, innovative ways.

To better encourage the effective use of technology to improve academic achievement and digital literacy in ways that support all students, we recommend that §299.19(a)(1)(iv) be clarified to highlight the critical support school libraries provide with regard to improving the digital literacy of all students.

An effective school library program plays a crucial role in bridging digital and socioeconomic divides and focuses on accessing and evaluating information, providing digital learning training and experiences, and developing a culture of reading. The school library program uses a variety of engaging and relevant resources. Robust school libraries have high-quality, openly licensed digital and print resources, technology tools, and broadband access. This environment is essential to providing equitable learning opportunities for all students.

By providing access to an array of well-managed resources, school libraries enable academic knowledge to be linked to deeper, personalized learning. The expanded learning environment of the school library ensures the unique interests and needs of individual students are met. In this way, effective school library programs prepare students for college, career, and community.

Therefore, as the Department considers recommendations on the proposed regulations governing accountability and State plans under ESSA, we respectfully ask that the final regulations specifically include school librarians and school libraries under §299.15
(Consultation and Coordination) and §299.19 (Supporting All Students) to ensure that implementation of ESSA includes adequate consultation and an appropriate focus on the role of school libraries in using technology to improve academic achievement and digital literacy of all students.

Thank you again for the opportunity to provide recommendations; please do not hesitate to contact Emily Sheketoff from ALA at (202) 628-8410 or Sylvia Knight Norton from AASL at (312) 280-4388 if you have any questions or if there is anything we can do to assist with implementation of ESSA.

Sincerely,

Emily Sheketoff
Executive Director
Washington Office
American Library Association

Sylvia K. Norton
Executive Director
American Association of School Librarians
American Library Association
SUMMARY OF PROGRAM

Overview – ESSA authorizes a new flexible block grant program – Student Support and Academic Enrichment Grants under Title IV, Part A, Subpart 1 – to increase the capacity of States, LEAs, schools, and local communities to:

1. Provide all students with access to a well-rounded education;
2. Support safe and healthy students; and
3. Support the effective use of technology.

Authorization Level and Funding – ESSA authorizes the program at $1.65 billion for FY2017 through FY2020.

- The Administration’s FY2017 budget request included $500 million for Student Support and Academic Enrichment Grants.
- Prior to the August 2016 recess, the Senate Appropriations Committee provided $300 million and the House Appropriations Committee provided $1 billion in their respective bills for Student Support and Academic Enrichment Grants.
- The funding level will be finalized as part of negotiations to fund the Federal government for FY2017.

Allocation of Funds by Formula – Each State, and subsequently each LEA, receives an allocation based on their State or local share of the ESSA Title I funding formula.

- States reserve 5 percent of their allocation for State-level activities and 95 percent of funds are provided for local awards to LEAs.
- Each LEA that receives a formula allocation must conduct a “needs assessment” and then must use:
  - Not less than 20 percent of funds to support well-rounded educational opportunities;
  - Not less than 20 percent of funds to support safe and healthy students; and
  - A portion of funds (not defined under ESSA) to support the effective use of technology.
- Remaining funds at the local level can be used by the LEA to support any of the three aforementioned categories of activities.

State-Level Activities – States are authorized to use their share of funding (5 percent) for State-level activities and programming designed to meet the purposes of the program, including:
• Monitoring, training, technical assistance and capacity building for LEAs;

• Identifying and eliminating State barriers to the coordination and integration of programs, initiatives, and funding streams so that LEAs can better coordinate with other agencies, schools, and community-based services and programs; and

• Supporting LEAs in providing programs and activities that:

  o Offer well-rounded educational experiences to all students, which may include:

    ✓ STEM courses;
    ✓ Music and arts education;
    ✓ Foreign languages;
    ✓ Accelerated learning programs that provide postsecondary level courses accepted for credit at institutions of higher education (such as Advanced Placement courses);
    ✓ American history, civics, economics, geography, social studies, or government education;
    ✓ Environmental education; and
    ✓ Other courses, activities, programs or experiences that contribute to a well-rounded education.

  o Foster safe, healthy, supportive, and drug-free environments, which may include:

    ✓ Reducing exclusionary discipline practices in schools;
    ✓ Mental health awareness training and school-based counseling;
    ✓ Integrating health and safety practices into school and athletic programs; and
    ✓ Disseminating best practices and evaluating program outcomes to promote student safety and violence prevention.

  o Increase access to personalized, rigorous learning experiences supported by technology, including:

    ✓ Providing technical assistance to LEAs to identify and address technology readiness needs, which specifically includes “access to school libraries”;
    ✓ Supporting schools in rural and remote areas to expand access to digital learning\(^1\) opportunities;
    ✓ Supporting the delivery specialized or rigorous academic courses and curricula through the use of technology, including digital learning technologies and assistive technology.
    ✓ Disseminating promising practices related to technology instruction, data security and the acquisition and implementation of technology tools and applications;
    ✓ Providing teachers, paraprofessionals, school librarians and media personnel, specialized instructional support personnel, and administrators with the knowledge and skills to use technology effectively; and

\(^1\) Under ESSA, the term “digital learning” is defined as any instructional practice that effectively uses technology to strengthen a student’s learning experience and encompasses a wide spectrum of tools and practices, including: (1) interactive learning resources, digital learning content (which may include openly licensed content), software, or simulations, that engage students in academic content; (2) access to online databases and other primary source documents; (3) the use of data and information to personalize learning and provide targeted supplementary instruction; (4) online and computer-based assessments; (5) learning environments that allow for rich collaboration and communication, which may include student collaboration with content experts and peers; (6) hybrid or blended learning, which occurs under direct instructor supervision at a school or other location away from home and, at least in part, through online delivery of instruction with some element of student control over time, place, path, or pace; and (7) access to online course opportunities for students in rural or remote areas.
✔ Making instructional content widely available through open educational resources, which may include providing tools and processes to support LEAs in making such resources widely available.

**Local Consultation** – In developing the local application, an LEA is required to consult with parents, teachers, principals, specialized instructional support personnel (which specifically includes school librarians under ESSA) and other stakeholders with relevant and demonstrated expertise in programs and activities designed to meet the purpose of the program.

- In addition, LEAs are required to engage in “continued consultation” with such stakeholders to improve local activities and to coordinate programming with other related strategies, programs, and activities being conducted in the community.

**Local Needs Assessment** – Prior to receiving funds under the program, LEAs must conduct a “comprehensive needs assessment” (that must be conducted every 3 years) to examine needs for improvement of:

- Access to, and opportunities for, a well-rounded education for all students;
- School conditions for student learning in order to create a healthy and safe school environment; and
- Access to personalized learning experiences supported by technology and professional development for the effective use of data and technology.

  - Note: Under State Activities, States are authorized to support local efforts to increase access to personalized learning experiences by providing technical assistance to LEAs to identify and address technology readiness needs, which specifically includes “access to school libraries” under the statute.

- LEAs that would receive an allocation of less than $30,000 are not required to conduct a comprehensive needs assessment.

**Local Uses of Funds** – As part of the local application, LEAs must provide assurances that funds will prioritized to schools that have the greatest need, the most low-income children, are identified under the accountability system or identified as persistently dangerous. Similar to activities authorized at the State-level, LEAs are authorized to use their share of funds (95 percent) to:

- Develop and implement programs and activities that support access to a well-rounded education and that:
  - Are coordinated with other schools and community-based services and programs;
  - May be conducted through partnerships; and
  - May include programs and activities, such as:
    - College and career guidance and counseling;
    - Activities that use music and the arts as tools to support student success;
    - Activities to improve instruction and student engagement in STEM (including computer science);
    - Efforts to raise student academic achievement through accelerated learning programs
Activities to promote traditional American history, civics, economics, geography, or government education;
Foreign language instruction;
Environmental education;
Activities that promote volunteerism and community involvement;
Activities that support educational programs that integrate multiple disciplines, such as programs that combine arts and mathematics; or
Other activities and programs to support student access to, and success in, a variety of well-rounded education experiences.

- Support safe and healthy students through the development, implementation and evaluation of programs and activities that:
  - Are coordinated with other schools and community-based services and programs;
  - Foster safe, healthy, supportive, and drug-free environments that support student academic achievement;
  - Promote the involvement of parents;
  - May be conducted through partnerships; and
  - May include programs and activities, such as:
    - Drug and violence prevention activities;
    - School-based mental health services;
    - Health and safety practices for schools and athletic programs;
    - Support for healthy, active lifestyles, including nutritional education and regular, structured physical education activities;
    - Prevention of bullying and harassment;
    - Mentoring and counseling for all students;
    - Dropout recovery programs;
    - Training for school personnel related to suicide prevention, crisis management, conflict resolution and school-based violence prevention strategies;
    - Child sexual abuse awareness;
    - Reducing exclusionary discipline practices; and
    - Pay for success initiatives.

- Use technology to improve the academic achievement, academic growth, and digital literacy of all students – including by addressing shortfalls identified in the local needs assessment conducted prior to receiving funds – which may include:
  - Providing educators, school leaders, and administrators with the professional learning tools, devices, content, and resources to personalize learning and to administer computer-based assessments and blended learning strategies;

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2 Under ESSA, the term “blended learning” is defined as a formal education program that leverages both technology-based and face-to-face instructional approaches that include an element of online or digital learning, combined with supervised learning time, and student-led learning, in which the elements are connected to provide an integrated learning experience; and in which students are provided some control over time, path, or pace.
o Building technological capacity and infrastructure, including procuring content and purchasing devices, equipment, and software applications in order to address readiness shortfalls (as identified under the comprehensive needs assessment);

✓ Note: There is a 15 percent cap under ESSA related to the purchase of technology infrastructure under the program.

o Developing or using effective strategies for the delivery of specialized or rigorous academic courses through the use of technology, including digital learning technologies and assistive technology;

o Blended learning projects;

o Professional development in the use of technology (which may be provided through partnerships with outside organizations) to enable teachers and instructional leaders to increase student achievement in STEM (including computer science); and

o Opportunities for students in rural, remote, and underserved areas to take advantage of high-quality digital learning experiences, digital resources, and access to online courses taught by effective educators.

SUMMARY OF LIBRARY PROVISIONS

• ESSA authorizes (but does not require) States to use their share of funds to assist LEAs in providing programs and activities that increase access to personalized, rigorous learning experiences supported by technology, including adequate “access to school libraries.”

• ESSA authorizes (but does not require) States to use their share of funds to assist LEAs in providing school librarians and other school personnel with the knowledge and skills to use technology effectively, including effective integration of technology, to improve instruction and student achievement.

• In developing their local applications, LEAs must consult with teachers, principals and other stakeholders, including “specialized instructional support personnel” which is defined under ESSA as specifically including school librarians.

• ESSA requires that LEAs conduct a “needs assessment” prior to receiving funds from the State (that must be conducted every 3 years). The needs assessment must include access to personalized learning experiences (which may include access to school libraries).

NEXT STEPS – ADVOCATE FOR INCLUSION OF LIBRARY PROGRAMMING

State-Level Advocacy

• Because States are authorized (but not required) to support LEAs in providing programs and activities that increase access to personalized learning experiences (which may include and professional development for school librarians and better access to school libraries for students), it will be important to contact and work with education officials at the State-level (State Educational Agency) to make them aware of their ability to use funds in support of personalized learning experiences, including ways in which effective school library programs can contribute to personalized learning activities in schools.
• Since States are authorized (but not required) to support LEAs in the delivery of specialized or rigorous academic courses and curricula through the use of technology, including digital learning technologies, it will be important to contact and work with State education officials to ensure that such activities include effective school library programs that provide digital learning technologies.

Local Advocacy

• Since LEAs are required to consult with stakeholders – which may include school librarians – on the development of their local activities, it is critical to contact and work with leaders, administrators and technology educators at the school and LEA level to ensure that school librarians can adequately participate in the development and implementation of programming.

• Since LEAs must conduct a “comprehensive needs assessment” that includes access to personalized learning experiences supported by technology and professional development for the effective use of data and technology, it is essential to contact and work with leaders, administrators and technology educators at the school and LEA level to ensure that “access to school libraries” is considered as part of the local needs assessment.

• Since LEAs are authorized (but not required) to support the delivery of specialized or rigorous academic courses and curricula through the use of technology, including digital learning technologies, it will be important to contact and work with leaders, administrators and technology educators at the school and LEA level to ensure that such activities include effective school library programs that provide digital learning technologies.